

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

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October 29, 2001

Date of Deposit

James L. Katz, Reg. No. 42,711  
Name of Applicant, Assignee or  
Registered Representative

Signature

10/29/01  
Date of Signature

MAILED

DEC 28 2001

Technology Center 2600

Our Case No.: 10432/44  
(Heidelberg Ref. No. 10108)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Roztocil, et al.

Serial No.: 09/803,166

Filing Date: March 9, 2001

For: SYSTEM AND METHOD FOR  
VISUAL REPRESENTATION OF  
TABS IN A PRODUCTION  
PRINTING WORKFLOW

Examiner: Unassigned

Group Art Unit No.: 2622

**FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the document listed on the attached Form PTO-1449 be considered by the Examiner

and made of record. A copy of the listed document required by 37 C.F.R. § 1.98(a)(2) is enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This First Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this First Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

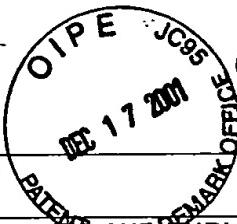
Applicant(s) respectfully request that the listed document be made of record in the present case.

Respectfully submitted,



James L. Katz  
Registration No. 42,711  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



|   |                                |                        |
|---|--------------------------------|------------------------|
| FORM PTO-1449<br><br>LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT<br><br>(use several sheets if necessary) | SERIAL NO.<br>09/803,166       | CASE NO.<br>10432/44   |
|   | FILING DATE<br>March 9, 2001   | GROUP ART UNIT<br>2622 |
|   | APPLICANT(S): Roztocil, et al. |                        |

**REFERENCE DESIGNATION**
**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|------------------|-----------------|------|------|-----------------|-------------|
| B1               |                 |      |      |                 |             |
| B2               |                 |      |      |                 |             |

**FOREIGN PATENT DOCUMENTS**

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES NO |
|------------------|-----------------|----------|---------|-----------------|--------------------|
| B3               | WO 01/67226     | 09/13/01 | PCT     |                 |                    |
| B4               |                 |          |         |                 |                    |

| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |
|------------------|--|
| B5               |  |
| B6               |  |

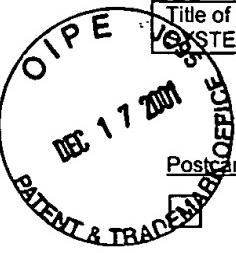
**MAILED**
**DEC 28 2001**
**Technology Center 2600**

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

GAU/2622

|  |                            |                          |                        |
|--|----------------------------|--------------------------|------------------------|
| TRANSMITTAL LETTER   |                            |                          | Case No. 10432/44      |
| Serial No.<br>09/803,166   | Filing Date<br>May 1, 2001 | Examiner<br>Not Assigned | Group Art Unit<br>2622 |
| Inventor(s)<br>Roztocil, et al.  |                            |                          |                        |
| Title of Invention<br><b>SYSTEM AND METHOD FOR VISUAL REPRESENTATION OF TABS IN A PRODUCTION PRINTING WORKFLOW</b> |                            |                          |                        |



## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is First Supplemental Information Disclosure Statement; Form PTO 1449; Copy of cited reference;  
Postcard Receipt.

- Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed. **MAILED**
- Petition for a \_\_\_\_\_ extension of time.
- No additional fee is required.
- The fee has been calculated as shown below:

DEC 28 2001

Technology Center 2600

|   | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total                                     |                                  | Minus |                                 |               |
| Indep.                                    |                                  | Minus |                                 |               |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               |

| Small Entity |                 | Other Than Small Entity |                 |
|--------------|-----------------|-------------------------|-----------------|
| Rate         | Add'l Fee       | Rate                    | Add'l Fee       |
| x \$9=       |                 | x \$18=                 |                 |
| x 42=        |                 | x \$84=                 |                 |
| +\$140=      |                 | +\$280=                 |                 |
|              | Total add'l fee | \$                      | total add'l fee |

- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

James L. Katz  
 Registration No. 42,711  
 Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, ILLINOIS 60610  
 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 29, 2001.

Date: October 29, 2001 Signature:

rev. Dec.-99  
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